



Convention on  
Biological Diversity

# Caribbean Workshop for Implementing the Strategic Plan for Biodiversity through the National Biodiversity Strategies and Action Plans

Revising and Updating NBSAPs: Introduction to COP  
Guidance and NBSAP Review Process

CBD Secretariat  
17 to 21 October 2011





## Part 1:

# An Introduction to National Biodiversity Strategies and Action Plans

## What are NBSAPs?



# What is an NBSAP ?

- A **process** by which countries can plan to address the threats to their biodiversity and promote its sustainable use for national development.
- The **principal instrument** for the implementation of the Convention **at the national level**.
- A shorthand for implementation of **Article 6** of the Convention.



# Legal basis for national implementation

## Article 6:

Each Party shall, in accordance with its particular conditions and capabilities:

- a) Develop national strategies, plans or programmes for biodiversity, or adapt existing strategies, plans or programmes
- b) Integrate biodiversity into relevant sectoral and cross-sectoral plans, programmes and policies

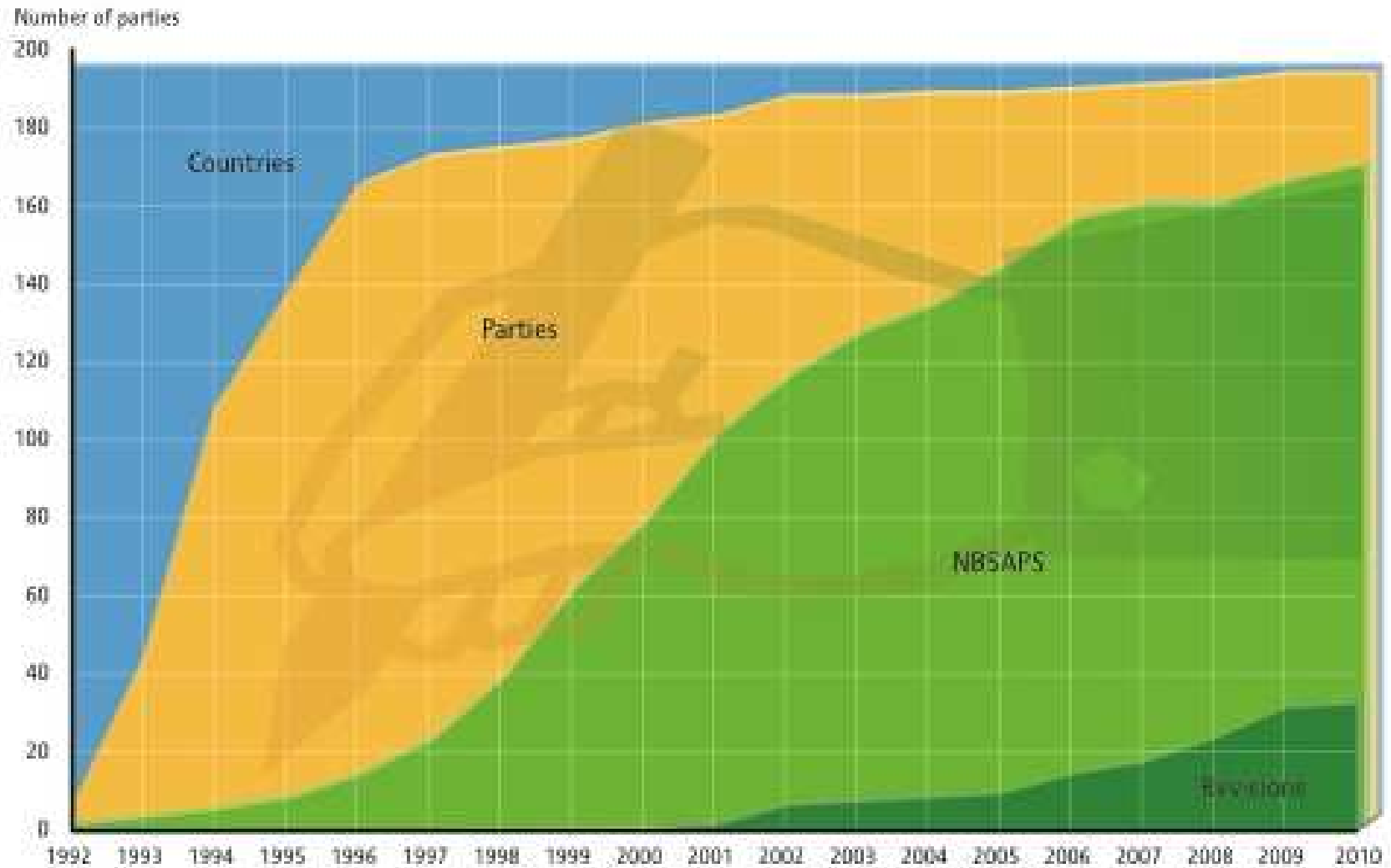


## Three important points

- **The NBSAP does not have to take the form of a single biodiversity-planning document.** Second generation, or revised NBSAPs resemble a planning process rather than a fixed document.
- The Convention requires countries not just to prepare an NBSAP, but to ensure that it contains elements that are incorporated into the planning and activities of all those sectors whose activities can have an impact (positive and negative) on biodiversity. This **‘mainstreaming’** requires a multi-stakeholder process.
- The NBSAP should be **a living process** by which increasing knowledge, gained through monitoring and evaluation of each phase of implementation, is fed back.



# National Biodiversity Strategies and Action Plans





## Part 2:

# Revising and Updating NBSAPs

## COP Guidance The Biodiversity Planning Process Elements of an NBSAP

# Mandate for updating NBSAPs (Decision X/2)

COP10 has urged Parties to :

- **Develop national and regional targets**, using the Strategic Plan and its Aichi Targets, as a flexible framework, in accordance with national priorities and capacities .... with a view to contributing to collective global efforts to reach the global targets, and report to COP 11 (2012).
- **Review, and as appropriate update and revise, NBSAPs**, in line with the Strategic Plan and decision IX/9, and adopt as a policy instrument, and report thereon to the COP 11 or 12 (2012 or 2014);
- **Use NBSAPs as effective instruments for the integration of biodiversity targets** into national development and poverty reduction policies and strategies, ..... economic sectors and spatial planning processes, by Government and the private sector at all levels;
- **Monitor and review** the implementation of NBSAPs ..... And report to the COP through their 5<sup>th</sup> and 6<sup>th</sup> national reports .....

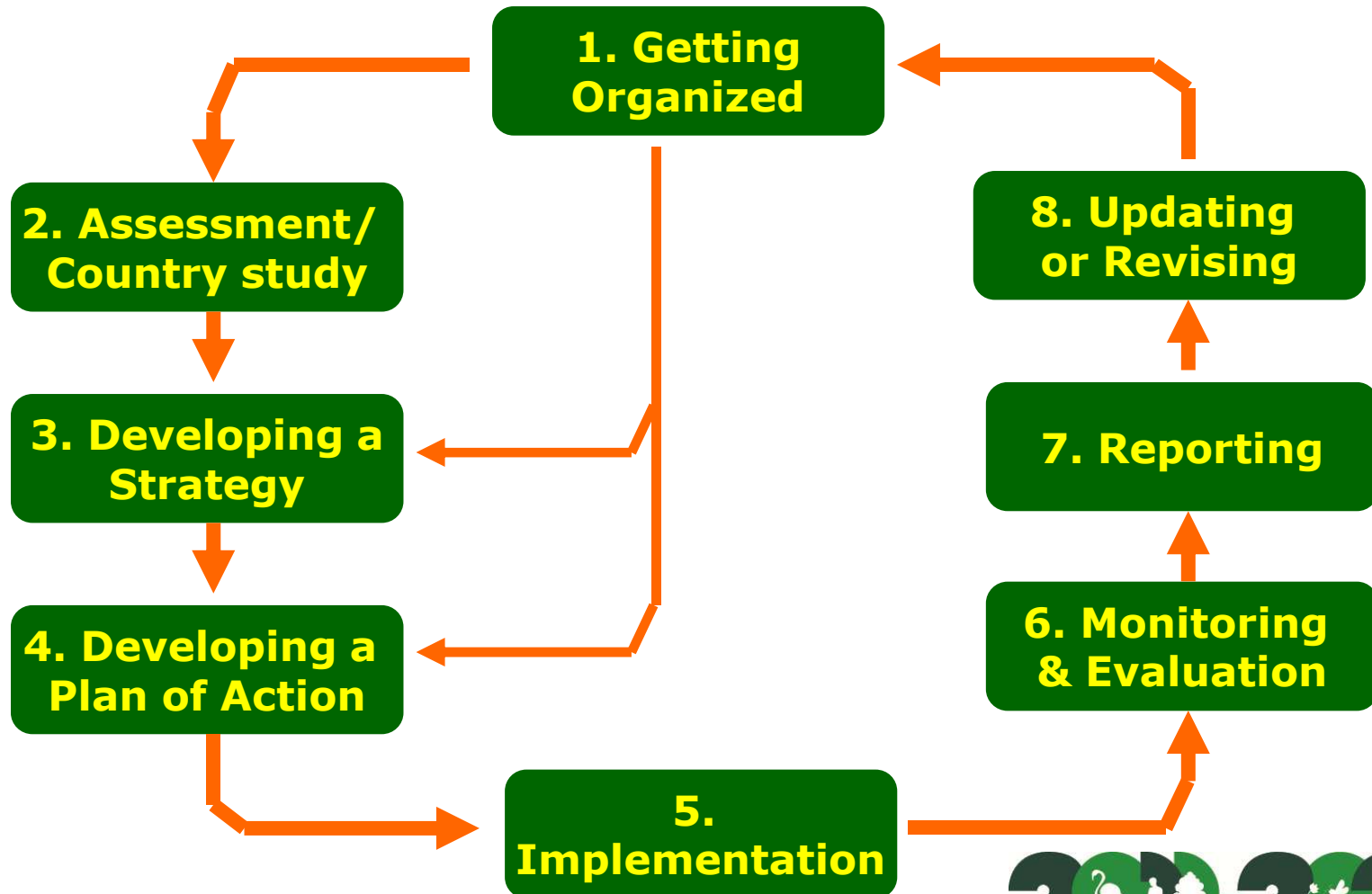


# Guiding principles (based on decision IX/8)

NBSAPs are key implementation tools of the Convention. They must address **all three objectives** of the Convention:

- b) The NBSAP should highlight, and seek to maintain the **contribution** of biodiversity and ecosystem services **to human well being**.
- c) The NBSAP is a **strategic** instrument for achieving concrete outcomes, and not a study.
- d) To be effective the NBSAP must be jointly developed, adopted, and owned by the full range of **stakeholders** involved. It is also important that **high-level government support** be secured.
- e) The NBSAP must include measures to **mainstream** biodiversity into sectoral and cross-sectoral policies and programs..
- f) **Biodiversity planning is a long-term, cyclical and adaptive process**. It will involve continual monitoring, evaluation, and revision, as progress is made, conditions evolve, and lessons are learned.

# Steps in the Biodiversity Planning Process





# 1. Getting Organized

- Identify stakeholders who should be involved and bring them together
- Important to ensure “buy-in”

## *Results:*

- A small representative group willing to form part of the NBSAP working group or committee
- A broad range of participants engaged in the larger process
- Motivated and active participants
- Brings additional stakeholders on board

More information in module 5 (stakeholder engagement)

## 2. Assessment of current conditions

- A brief assessment of why biodiversity is important for the country:
  - Its contribution to human well-being
  - Its economic and other values and the costs of its loss
  - The drivers and underlying causes of its loss
- Review relevant laws and policies
- Lessons learned from the previous NBSAP
- Gaps and unmet needs

### *Results:*

- A reliable picture of what is already known about national biodiversity and the threats it faces
- Understanding of why biodiversity is important for the country.
- Understanding of legal and administrative frameworks and existing institutional and human capacities.
- A list of gaps and unmet needs

Much of this information will already be available in the country's fourth national



## 3. Developing the Strategy

- Statement of where the country wants to go and which route it will take to get there.
- *Should include:*
  - Principles
  - Values and beliefs underlying the NBSAP.
  - Priorities
  - Clear alignment with the country's development and poverty reduction policies and strategies
  - National targets in support of the Strategic Plan

More information on developing targets in module 4



## 4. Developing the Action Plan

- Vehicle for implementation – “*How we are going to get to where we want to go*”.
- Identifying the action that will be implemented
  - Who does what?
  - Where?
  - When?
  - How?
- Identifying and securing the human, technical and financial resources necessary
- Establishing indicators to measure and report on progress towards national targets and deciding on monitoring and evaluation mechanisms.

More information on Decision IX/8, which provides guidance on NBSAP content and processes, is in module 1



## 5. Implementation

- Carrying out the agreed plan of action in the way envisaged, within the allocated time frame

Implementation will occur on several fronts and by different actors simultaneously:

- Preparing, negotiating and adopting legislative and administrative measures (civil servants and politicians)
  - Scientific and research activities (scientific community)
  - Undertaking specific projects (national or international NGOs, or governments)
  - Carrying out education and public awareness activities (educational institutions)
- 
- Establishing an effective NBSAP management unit to have reliable and comprehensive overview of implementation



## 6. Monitoring and Evaluation

- Mechanisms for monitoring and evaluation need to be built into the plan of action, and in place at the start of implementation
- Important to identify appropriate baselines and indicators to evaluate outcomes and effectiveness
- Monitoring and evaluation is preferably done by a range of stakeholders or by independent bodies





CBD

## 7. Reporting

- Parties to the CBD are required to present National Reports to COP every four years on the measures they have taken to implement the Convention
- Preparing a national report can help to:
  - Identify gaps in the NBSAP
  - Identify issues which require special attention.
  - Provide the basis for a proposed revision of the NBSAP.
- Countries may be obliged to prepare other reports on biodiversity policy or implementation of the CBD such as to Parliament or to national audit offices.
- The process of preparing the national report should be a fully participative national process, involving all the stakeholders

More information on the fifth national reports is available from  
<https://www.cbd.int/nr5/>

# Contents of NBSAP (based on decision IX/8)

Rationale for the NBSAP (importance of biodiversity; identification of threats; national framework; lessons from previous experience)

- Strategy, including priorities, principles and national targets
- Action Plan, including application of strategy across sectors and at the local level
- Plans for capacity building; communication and outreach and resource mobilization
- Institutional mechanisms to support implementation, monitoring and review



## Part 3:

# Supporting Countries to Revise and Update NBSAPs

## GEF Support NBSAP Training Package

# Support from the GEF

- The Biodiversity Strategy for GEF-5 includes: "Integrate CBD Obligations into National Planning Processes through Enabling Activities" (5th objective)
- Up to US\$500,000 per eligible country have been reserved for such Enabling Activities, outside of each country's STAR allocations.
- Funds for NBSAPs and fifth national reports can be accessed through a number of ways:
  - Direct access from the GEF Secretariat
  - Access through an agency
  - For LDCs and SIDS, through a UNEP Umbrella Project (currently under development)

In all cases applications should be made through the GEF Operational Focal Points for the country concerned.



# NBSAP Training Package

- Aimed at National Focal Points and BD planners
- Regional and sub-regional NBSAP workshops
- Explains processes and content of national biodiversity planning
- Numerous examples, case studies, tools...
- Sources Used:
- Previous version of modules
- Parties' NBSAPs, Fourth National Reports
- COP Decisions and Guidance

[www.cbd.int/nbsap](http://www.cbd.int/nbsap)

# NBSAP Training Package

## *Updated modules:*

1. Introduction to National Biodiversity Strategies and Action Plans
2. How to prepare or update a NBSAP
3. Mainstreaming biodiversity
4. Setting national biodiversity targets

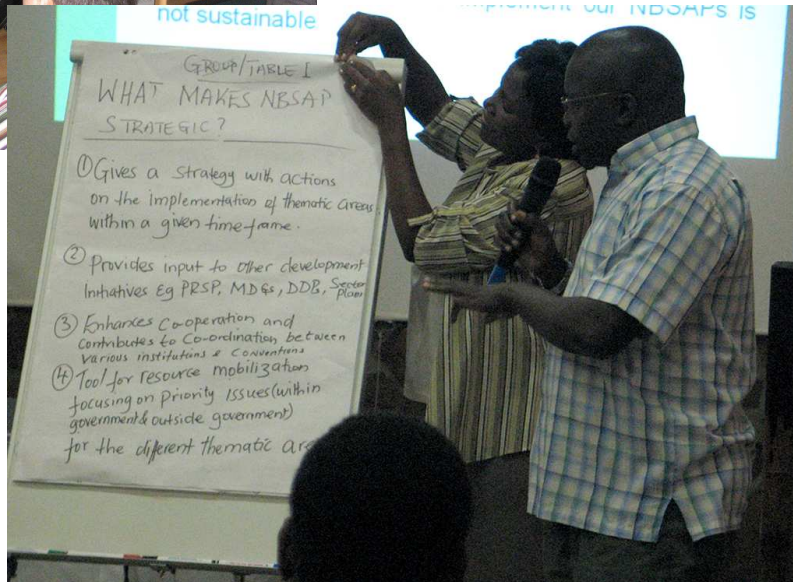
## *Modules under revision/preparation:*

5. Ensuring stakeholder engagement
  6. Financial resource mobilization for the NBSAP
  7. Communication strategies for NBSAPs
  8. Sub-national and local application of NBSAPs
- Implementation of the biodiversity-related conventions

# Capacity building workshops



- To assist Parties to establish national targets in the framework of the Aichi Biodiversity Targets
- To assist Parties to integrate national targets into updated NBSAPs as effective tools for mainstreaming
- To raise awareness to stimulate early entry into force of Nagoya Protocol on ABS and Nagoya-Kuala Lumpur Supplementary Protocol on Liability and Redress



## Workshops:

- **South, East, SE, Asia**
- **South and East Africa**
- **Meso-America**
- **South America**
- **Europe**
- **Central Africa**
- **West Africa**
- **Caribbean**
- **Arab States**
- **Pacific**
- **Central Asia**

## Organized with support of

- **Japan Fund**
- **Host countries**
- **Regional partners**

# Capacity building workshops

Region	Dates	Venue
<i>Sub-regional workshops:</i>		
Southern Africa	14-20 March 2011	Kasane, Botswana
North Africa & the Middle East	4 – 9 April 2011	Beirut, Lebanon
Europe	15-19 April 2011	Vilm, Germany
South, SE & East Asia	9 - 16 May 2011	Xi'an, China
West Africa	24 – 27 June 2011	Dakar, Senegal
East Africa	30 June – 1 July 2011	Kigali, Rwanda
South America (and Amazon)	11-15 July 2011	Quito, Ecuador
Caribbean	30 May – 1 June 2011	Guyana
Central Africa	25 – 28 May 2011	Brazzaville
Europe (PEBLDS)	5 – 7 September 2011	Geneva
Central Asia	17 - 19 September 2011	Kazakhstan
Meso-America	17-21 October 2011	Costa Rica
Pacific	3 – 7 October 2011	Apia, Samoa
Mediterranean	16 – 18 January 2012	Montpellier
North America	20 – 24 February 2012	Mexico
<i>Follow-up meetings:</i>		
Asia	16 – 18 April 2012	New Delhi, India
Europe	2 – 6 April 2012	Geneva
Africa	9 – 13 April 2012	Addis Ababa
Latin America and Caribbean	25 - 26 April 2012	Santiago





## Part 4:

# Updating and revising NBSAPs: Overview of the Way Forward



National  
circumstances

CBD  
Strategic  
Plan

Develop  
National  
Targets

National  
Targets

COP – 11  
2012

Review of  
targets &  
support

COP-9  
NBSAP  
guidance

Update  
NBSAP

NBSAP  
as policy  
instru-  
ment

COP – 12  
2012/14

GBO – 4  
Mid-term  
review

Monitor  
Implem-  
entation

Fifth  
National  
Report

GBO – 5  
Review of  
achieveme  
nt

Sixth  
National  
Report

COP  
2020



United Nations Decade on Biodiversity

Living in harmony with nature



2012

2014

2015  
MDGS

2020

National  
Targets  
Set

NBSAPs revised

NBSAPs implemented

Targets Achieved

5<sup>th</sup> National  
Reports

6<sup>th</sup> National  
Reports

Living in harmony with nature





# United Nations Decade on Biodiversity

[www.cbd.int/sp/sp](http://www.cbd.int/sp/sp)

[www.cbd.int/nbsap](http://www.cbd.int/nbsap)

Living in harmony with nature

