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AD HOC OPEN-ENDED WORKING GROUP ON REVIEW OF IMPLEMENTATION OF THE CONVENTION

Fourth meeting
Montreal, 7 – 11 May 2012
Agenda item 6

REVIEW OF IMPLEMENTATION OF THE STRATEGY FOR RESOURCE MOBILIZATION

Draft recommendation submitted by the Chair

The Ad Hoc Open Ended Working Group on Review of Implementation of the Convention

1. *Expressing its gratitude* to the Governments of Ecuador, Japan, Sweden, Norway and India for jointly hosting the informal seminar dialogue, together with the Secretariat, on Scaling-up Biodiversity Finance held in Quito, Ecuador from 6 to 9 March 2012 and welcomes the co-chair's summary of the dialogue (UNEP/CBD/WG-RI/4/INF/9);

2. *Expressing its gratitude* to the Governments of Finland and the United Kingdom for undertaking research on Assessing the Adopted Indicators for the Implementation of the Strategy on Resource Mobilization of the Convention on Biological Diversity: A Scoping Study (UNEP/CBD/WG-RI/4/INF/8);

3. *Taking note* of the submissions on the review of implementation of goals 2, 5, 7 and 6, 8 of the Strategy for Resource Mobilization and the examples of positive trends in taking action to mobilize new and additional resources for biodiversity provided in document UNEP/CBD/WG-RI/4/INF/16;

4. *Recalling* decision X/3 and the Strategic Plan for Biodiversity 2011-2020, *reiterating* that resources (financial, human and technical) need to be mobilised from all sources and that this should be balanced with the effective implementation of the Strategic Plan for Biodiversity 2011-2020, and *highlighting* the need for further consideration on the evaluation of the resources mobilised in terms of the biodiversity outcomes achieved;

5. *Welcomes* the establishment of a high-level panel on global assessment of resources for implementing the Strategic Plan for Biodiversity 2011-2020, *expresses its gratitude* to Governments of the United Kingdom and India for co-sponsoring the panel and *invites* the panel to report to the eleventh meeting of the Conference of the Parties;

6. *Request* the Executive Secretary to elaborate the further development of the preliminary reporting framework and *invites* Parties to use the preliminary reporting framework

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(UNEP/CBD/WG-RI/4/6/Add.1) as a flexible and tentative framework to monitor resources mobilised for biodiversity at national and global level, adapting it to national needs and circumstances, as appropriate, to build on this flexible framework as part of national monitoring, including the implementation of national biodiversity strategies and action plans and provide the information to the Executive Secretary by the end of June 2012;

7. *Invites* Parties to implement the Convention on Biological Diversity's strategy for resource mobilization, along with its concrete actions and initiatives, to substantially enhance international financial flows and domestic funding for biological diversity;

8. In line with the Strategy for resource mobilization (decision IX/11), *encourages* Parties to develop, as appropriate, country specific resource mobilization strategies, including assessment of resource needs, as part of their updated NBSAPs, as a matter of priority, and, where possible to finalize them by the end of June 2012 with a view to informing a decision on resource mobilisation at the eleventh meeting of the Conference of the Parties;

9. *Requests* the Executive Secretary to undertake the following for consideration by the eleventh meeting of the Conference of the Parties:

(a) Explore the need for and possibility of establishing a finance portal on biodiversity, complemented by other means (that do not rely on high-speed internet connections), to serve as a gateway to provide Parties with information on:

- (i) The financial mechanism to the Convention;
- (ii) The mobilization of new and additional financial, resources, in accordance with Article 20, through public and private sources and new and innovative financial mechanisms;
- (iii) Enabling conditions for scaling up biodiversity financing; and
- (iv) Wider resource mobilization options

(b) *Collaborate*, as appropriate, with expert teams, such as the High-Level Panel on Global Assessment of Resources, to develop proposals outlining how the funding gap for each Aichi Biodiversity Target can be bridged using the most appropriate funding sources while also considering synergies;

(c) *Organize* regional and expert workshops, as appropriate and based on the availability of resources, to assist Parties in exploring relevant financing mechanisms, including guiding principles and safeguards, and in gathering information and sharing national experiences on using the flexible reporting framework;

(d) Recalling decision X/3 paragraph 8 (h), *compile and consolidate* data provided by Parties through the preliminary reporting framework and present this for the consideration of Parties at the eleventh meeting of the Conference of the Parties;

(e) Complete the review of implementation of Goals 2, 5, 7, and 6 and 8 of the strategy for resource mobilization, based on the input provided by Parties and other relevant stakeholders at the fourth meeting of the Ad Hoc Open Ended Working Group on the Review of Implementation of the Convention, as well as additional relevant sources of data;

(f) *Prepare* a synthesis report, taking into account the submissions in response to X/3 8(c) as well as other sources of information as appropriate, such as the informal seminar dialogue on Scaling-up Biodiversity Finance held in Quito, Ecuador from 6 to 9 March 2012 and the workshop on Financing

Mechanisms for Biodiversity held in Montreal, Canada on 12 May 2012, for the consideration of the eleventh meeting of the Conference of the Parties

The Working Group may also wish to recommend that the Conference of the Parties, at its eleventh meeting, adopt a decision along the following lines:

The Conference of the Parties

1. *Welcomes* the collaboration between the Secretariat of the Convention on Biological Diversity and the Global Environment Facility in organizing a series of subregional workshops on resource mobilization, and *expressing its gratitude* to the European Union, Japan, the Netherlands, and Spain for their generous financial support of these workshops;

2. *Recognizing* that the Convention on Biological Diversity's strategy for resource mobilization calls for the exploration of new and innovative financial mechanisms at all levels with a view to increasing funding to support the three objectives of the Convention and that some of them are already being applied and *recalling* decision X/3, *reiterates* that any new and innovative financial mechanisms are supplementary and do not replace the financial mechanism established under Article 21 of the Convention;

3. *Encourages* Parties to undertake institutional mapping/analysis, covering the whole range of biodiversity resourcing options, as part of developing country-specific resource mobilization strategies in the framework of the revision of their national biodiversity strategies and action plans;

4. [*Encourages* Parties to consider the [initial findings][recommendations] of the high-level panel on global assessment of resources for implementing the Strategic Plan for Biodiversity 2011-2020 when exploring biodiversity resourcing options and mechanisms;]

5. [*Takes note of*][*Welcomes*][*Adopts*] the preliminary reporting framework and methodological and implementation guidance (UNEP/CBD/WG-RI/4/6/Add.1) as a flexible and tentative framework to report on and monitor the resources mobilised for biodiversity at a national and global level, and *invites* Parties to build on this flexible framework at a national level as part of monitoring, including the implementation of national biodiversity strategies and action plans, as appropriate, and to report prior to the fifth meeting of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention on the success and the barriers encountered in reporting on and monitoring the resources mobilised for biodiversity;

6. *Invites* Parties and other Governments to submit information on applying the preliminary reporting framework adopted by the eleventh meeting of the Conference of the Parties [and using the average of annual biodiversity funding for the years 2006-2010 or a single year during this period as a tentative baseline,] prior to the fifth meeting of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention in a timely manner for their consideration by the Working Group;

7. *Requests* the Ad Hoc Open-ended Working Group on the Review of Implementation of the Convention to further review the preliminary reporting framework at its fifth meeting based on information received from Parties on its application, funding needs, gaps and priorities;

8. *Invites* Parties agencies and other relevant institutions, including the financial mechanism of the Convention, to [continue to] allocate [additional] funds to allow for better engagement in the reporting process;

9. Taking into account decision X/3 paragraph 9(c), *invites* Parties and relevant partner organizations to examine their role in establishing enabling conditions, for the public and private sector,

in supporting the objectives of the Convention and its two protocols, and submit information on their experiences to the Executive Secretary (also see UNEP/CBD/WG-RI/4/9);

10. *Invites* Parties to consider the advice and technical information from the sixteenth meeting of the Subsidiary Body on Scientific, Technical and Technological Advice on Incentive measures contained in recommendation XVI/14;

11. *Recalling* decisions IX/11 paragraph 6, *invites* Parties, as appropriate, to support developing countries and countries with economies in transition to enhance national administrative and managerial capacities, in order to increase the effectiveness and sustainability of the international and national financial flows;

12. *Encourages* Parties to integrate national resource mobilization strategies, including existing needs assessments, into the decision making process on their funding targets in order to address the funding gap as soon as possible;

13. *Urges* Parties to consider all possible sources and means that can help to meet the level of resources needed, in accordance with Article 20 and consistent with decision X/3;

14. *Requests* the Executive Secretary to:

(a) Report to the fifth meeting of the Ad Hoc Open Ended Working Group on the Review of Implementation of the Convention on the status of implementation of paragraphs 8(d) and 12 of decision X/3;

(b) Develop additional guidance and training tools to assist Parties in providing the necessary data to apply, review and evaluate the indicators for the mobilization of financial resources;

(c) Elaborate additional guidance for Parties to apply the indicators for national and subnational application, and to undertake an assessment of the indicator framework based on Party experiences.
