

Contents

Foreword by Hamdallah Zedan	xv
How to use this Handbook	xix
Introduction: The operations of the Convention on Biological Diversity	xxiii
Acronyms and abbreviations	xxxvii
I. Convention on Biological Diversity	1
II. Cartagena Protocol on Biosafety to the Convention on Biological Diversity	29
III. Rules of procedure for meetings of the Conference of the Parties to the Convention on Biological Diversity	55
IV. <i>Modus operandi</i> of the Subsidiary Body on Scientific, Technical and Technological Advice	71
V. Financial rules for the administration of the Trust Fund for the Convention on Biological Diversity	79
VI. Guide to the decisions of the Conference of the Parties	85
ARTICLES OF THE CONVENTION ON BIOLOGICAL DIVERSITY	
	Preamble 87
ARTICLE 1	Objectives 88
ARTICLE 2	Use of terms 89
ARTICLE 3	Principle 93
ARTICLE 4	Jurisdictional scope 94
ARTICLE 5	Cooperation 94
ARTICLE 6	General measures for conservation and sustainable use 95
ARTICLE 7	Identification and monitoring 104
	Indicators 109
	Taxonomy 114
ARTICLE 8	<i>In-situ</i> conservation 119
	Protected areas (Article 8(a)–(c)) 123
	Ecosystems, habitats and viable populations (Article 8(d)) 126
	Buffer zones (Article 8(e)) 128

	Rehabilitation of ecosystems and recovery of threatened species (Article 8(f))	128
	Biosafety (Article 8(g))	131
	Alien species (Article 8(h))	133
	Traditional knowledge (Article 8(j) and related provisions)	138
	Mitigation of threats (Article 8(l))	152
ARTICLE 9	<i>Ex-situ</i> conservation	154
ARTICLE 10	Sustainable use of components of biological diversity	157
ARTICLE 11	Incentive measures	165
ARTICLE 12	Research and training	172
ARTICLE 13	Public education and awareness	175
ARTICLE 14	Impact assessment and minimizing adverse impacts	181
ARTICLE 15	Access to genetic resources	187
ARTICLE 16	Access to and transfer of technology	200
ARTICLE 17	Exchange of information	213
ARTICLE 18	Technical and scientific cooperation	216
	Clearing-house mechanism (Article 18(3))	222
ARTICLE 19	Handling of biotechnology and distribution of its benefits	230
	Consideration of the need for and modalities of a protocol on biosafety (Article 19(3) and (4))	232
ARTICLE 20	Financial resources	242
ARTICLE 21	Financial mechanism	259
ARTICLE 22	Relationship with other international conventions	274
ARTICLE 23	Conference of the Parties	275
ARTICLE 24	Secretariat	284
ARTICLE 25	Subsidiary Body on Scientific, Technical and Technological Advice	286
ARTICLE 26	Reports	292
ARTICLE 27	Settlement of disputes	299
ARTICLE 28	Adoption of protocols	300
ARTICLE 29	Amendment of the Convention or protocols	300
ARTICLE 30	Adoption and amendment of annexes	300
ARTICLE 31	Right to vote	301
ARTICLE 32	Relationship between this Convention and its protocols	302
ARTICLE 33	Signature	302
ARTICLE 34	Ratification, acceptance or approval	303
ARTICLE 35	Accession	303
ARTICLE 36	Entry into force	304
ARTICLE 37	Reservations	304
ARTICLE 38	Withdrawals	304
ARTICLE 39	Financial interim arrangements	305
ARTICLE 40	Secretariat interim arrangements	306
ARTICLE 41	Depositary	306

ARTICLE 42	Authentic texts	306
	Annex I Identification and monitoring	306
	Annex II Arbitration and conciliation	307

THEMATIC WORK PROGRAMMES

Forest biological diversity	309
Inland water biological diversity	318
Agricultural biological diversity	327
Marine and coastal biological diversity	338
Dry and sub-humid lands	348
Ecosystem approach	354
Mountain Biological Diversity	359
Strategic Plan and the 2010 Targets	361
Global Strategy for Plant Conservation	363
Cooperation with Other Conventions, International organizations and Initiatives	365

VII. Status of Participation—Convention on Biological Diversity and Cartagena Protocol on Biosafety	373
--	------------

VIII. Declarations	383
-------------------------------------	------------

IX. Nairobi Final Act of the Conference for the Adoption of the Agreed Text of the Convention on Biological Diversity	397
--	------------

X. Decisions of the Conference of the Parties (with relevant SBSTTA recommendations)	409
---	------------

FIRST MEETING OF THE CONFERENCE OF THE PARTIES

DECISION I/1	Rules of procedure for the Conference of the Parties	411
DECISION I/2	Financial resources and mechanism	411
DECISION I/3	Clearing-house mechanism for technical and scientific cooperation	414
DECISION I/4	Selection of a competent international organization to carry out the functions of the Secretariat of the Convention	415
DECISION I/5	Support to the Secretariat by international organizations.	415
DECISION I/6	Financing of and budget for the Convention	415
DECISION I/7	Subsidiary Body on Scientific, Technical and Technological Advice	416
DECISION I/8	Preparation of the participation of the Convention on Biological Diversity in the third session of the Commission on Sustainable Development	416
DECISION I/9	Medium-term programme of work of the Conference of the Parties	420
DECISION I/10	Location of the Secretariat	420
DECISION I/11	Preparation for the second meeting of the Conference of the Parties	420

DECISION I/12 International Day for Biological Diversity 421
DECISION I/13 Tribute to the Government of the Commonwealth of the Bahamas 421

SECOND MEETING OF THE CONFERENCE OF THE PARTIES

DECISION II/1 Report of the first meeting of the Subsidiary Body on Scientific, Technical and Technological Advice 423
DECISION II/2 Publication and distribution of scientific and technical information 424
DECISION II/3 Clearing-house mechanism 424
DECISION II/4 Ways and means to promote and facilitate access to, and transfer and development of technology 425
DECISION II/5 Consideration of the need for and modalities of a protocol for the safe transfer, handling and use of living modified organisms 425
DECISION II/6 Financial resources and mechanism 426
DECISION II/7 Consideration of Articles 6 and 8 of the Convention . . . 427
DECISION II/8 Preliminary consideration of components of biological diversity particularly under threat and action which could be taken under the Convention 428
DECISION II/9 Forests and biological diversity 430
DECISION II/10 Conservation and sustainable use of marine and coastal biological diversity 434
DECISION II/11 Access to genetic resources 450
DECISION II/12 Intellectual property rights 450
DECISION II/13 Cooperation with other biodiversity-related conventions. . 451
DECISION II/14 Convening of an open-ended inter-governmental workshop on cooperation between the Convention on Biological Diversity and other international conventions on related issues 451
DECISION II/15 FAO Global System for the Conservation and Utilization of Plant Genetic Resources for Food and Agriculture 451
DECISION II/16 Statement to the International Technical Conference on the Conservation and Utilization of Plant Genetic Resources for Food and Agriculture 452
DECISION II/17 Form and intervals of national reports by Parties
Recommendation I/5 Scientific and technical information to be contained in the national reports 452
DECISION II/18 Medium-term programme of work of the Conference of the Parties for 1996–1997 457
DECISION II/19 Location of the Secretariat 457
DECISION II/20 Financing of and budget for the Convention 457
DECISION II/21 Venue and date of the third meeting of the Conference of the Parties 458
DECISION II/22 Convening of regional and subregional meetings for Parties to the Convention. 458

DECISION II/23	Tribute to the Government and people of the Republic of Indonesia	458
THIRD MEETING OF THE CONFERENCE OF THE PARTIES		
DECISION III/1	Pending issues arising from the work of the second meeting of the Conference of the Parties.	459
DECISION III/2	Report and recommendations of the second meeting of the Subsidiary Body on Scientific, Technical and Technological Advice	462
DECISION III/3	Use of languages in the meetings of the Subsidiary Body on Scientific, Technical and Technological Advice	462
DECISION III/4	Clearing-house mechanism to promote and facilitate technical and scientific cooperation	464
DECISION III/5	Additional guidance to the financial mechanism.	464
DECISION III/6	Additional financial resources	466
DECISION III/7	Guidelines for the review of the effectiveness of the financial mechanism.	467
DECISION III/8	Memorandum of understanding between the Conference of the Parties to the Convention on Biological Diversity and the Council of the Global Environment Facility	468
DECISION III/9	Implementation of Articles 6 and 8 of the Convention . .	473
DECISION III/10	Identification, monitoring and assessment	474
DECISION III/11	Conservation and sustainable use of agricultural biological diversity	483
DECISION III/12	Programme of work for terrestrial biological diversity: Forest biological diversity	489
DECISION III/13	Future programme of work for terrestrial biological diversity: Dryland, mountain and inland water ecosystems	459
DECISION III/14	Implementation of Article 8(j)	490
DECISION III/15	Access to genetic resources	492
DECISION III/16	Ways to promote and facilitate access to and transfer and development of technology, as envisaged in Articles 16 and 8 of the Convention.	493
DECISION III/17	Intellectual property rights	494
DECISION III/18	Incentive measures	495
DECISION III/19	Special session of the General Assembly to review implementation of Agenda 21	496
DECISION III/20	Issues related to biosafety	496
DECISION III/21	Relationship of the Convention with the Commission on Sustainable Development and biodiversity-related conventions, other international agreements, institutions and processes of relevance	497
DECISION III/22	Medium-term programme of work for 1996–1997	498
DECISION III/23	Administrative matters	498
DECISION III/24	Budget of the Trust Fund for the Convention on Biological Diversity	499

DECISION III/25 Date and venue of the fourth meeting of the Conference of the Parties 500

DECISION III/26 Convening of regional and subregional meetings for Parties to the Convention. 501

DECISION III/27 Tribute to the Government and people of the Argentine Republic. 501

FOURTH MEETING OF THE CONFERENCE OF THE PARTIES

DECISION IV/1 Report and recommendations of the third meeting of the Subsidiary Body on Scientific, Technical and Technological Advice, and instructions by the Conference of the Parties to the Subsidiary Body on Scientific, Technical and Technological Advice 503

DECISION IV/2 Review of the operations of the clearing-house mechanism 512

DECISION IV/3 Issues related to biosafety 514

DECISION IV/4 Status and trends of the biological diversity of inland water ecosystems and options for conservation and sustainable use 514

DECISION IV/5 Conservation and sustainable use of marine and coastal ecosystems, including a programme of work 516

DECISION IV/6 Agricultural biological diversity. 517

DECISION IV/7 Forest biological diversity 518

DECISION IV/8 Access and benefit-sharing. 518

DECISION IV/9 Implementation of Article 8(j) and related provisions . . . 519

DECISION IV/10 Measures for implementing the Convention on Biological Diversity 522

DECISION IV/11 Review of the effectiveness of the financial mechanism. . 527

DECISION IV/12 Additional financial resources 529

DECISION IV/13 Additional guidance to the financial mechanism. 529

DECISION IV/14 National reports by Parties 531

DECISION IV/15 The relationship of the Convention on Biological Diversity with the Commission on Sustainable Development and biodiversity-related conventions, other international agreements, institutions and processes of relevance 532

DECISION IV/16 Institutional matters and the programme of work 533

DECISION IV/17 Programme budget for the biennium 1999–2000 535
 Administrative arrangements between the United Nations Environment Programme (UNEP) and the Secretariat of the Convention on Biological Diversity (CBD). 544

DECISION IV/18 Date and venue of the fifth meeting of the Conference of the Parties 544

DECISION IV/19 Tribute to the Government and people of the Slovak Republic 544

FIRST PART OF THE FIRST EXTRAORDINARY MEETING OF THE CONFERENCE OF THE PARTIES

- DECISION EM-I/1** Decision on the continuation of the first extraordinary meeting of the Conference of the Parties to the Convention on Biological Diversity 545
- DECISION EM-I/2** Tribute to the Government and people of Colombia. 546

RESUMED SESSION OF THE FIRST EXTRAORDINARY MEETING OF THE CONFERENCE OF THE PARTIES

- DECISION EM-I/3** Adoption of the Cartagena Protocol and interim arrangements 547

FIFTH MEETING OF THE CONFERENCE OF THE PARTIES

- DECISION V/1** Work plan of the Intergovernmental Committee for the Cartagena Protocol on Biosafety 551
- DECISION V/2** Progress report on the implementation of the programme of work on the biological diversity of inland water ecosystems 554
- DECISION V/3** Progress report on the implementation of the programme of work on marine and coastal biological diversity. 556
- DECISION V/4** Progress report on the implementation of the programme of work for forest biological diversity 565
- DECISION V/5** Agricultural biological diversity: Review of phase I of the programme of work and adoption of a multi-year work programme. 569
- DECISION V/6** Ecosystem approach 585
- DECISION V/7** Identification, monitoring and assessment, and indicators 592
- DECISION V/8** Alien species that threaten ecosystems, habitats or species 593
- DECISION V/9** Global Taxonomy Initiative: Implementation and further advance of the suggestions for action 601
- DECISION V/10** Global strategy for plant conservation. 604
- DECISION V/11** Additional financial resources 605
- DECISION V/12** Second review of the financial mechanism 607
- DECISION V/13** Further guidance to the financial mechanism 609
- DECISION V/14** Scientific and technical cooperation and the clearing-house mechanism 611
- Longer-term programme of work of the clearing-house mechanism 616
- DECISION V/15** Incentive measures 696 622
- DECISION V/16** Article 8(j) and related provisions 623
- DECISION V/17** Education and public awareness 631
- DECISION V/18** Impact assessment, liability and redress 632
- DECISION V/19** National reporting 635

DECISION V/20 Operations of the Convention 636

DECISION V/21 Cooperation with other bodies 642

DECISION V/22 Budget of the programme of work for the biennium
2001–2002 644

DECISION V/23 Consideration of options for conservation and sustainable
use of biological diversity in dryland, Mediterranean, arid,
semi-arid, grassland and savannah ecosystems 655

DECISION V/24 Sustainable use as a cross-cutting issue 664

DECISION V/25 Biological diversity and tourism 666

DECISION V/26 Access to genetic resources 677

DECISION V/27 Contribution of the Convention on Biological Diversity to
the ten-year review of progress achieved since the United
Nations Conference on Environment and Development . . 684

DECISION V/28 Tribute to the Government and people of Kenya 685

DECISION V/29 Date and venue of the sixth meeting of the Conference of the
Parties 685

SIXTH MEETING OF THE CONFERENCE OF THE PARTIES

DECISION VI/1 Intergovernmental Committee for the Cartagena Protocol on
Biosafety 687

DECISION VI/2 Biological diversity of inland waters 688

DECISION VI/3 Marine and coastal biological diversity 689
SBSTTA recommendation VI/2: Marine and coastal
biological diversity: progress report on the implementation
of the programme of work, including the integration of
coral reefs 690

DECISION VI/4 Biological diversity of dry and sub-humid lands 703

DECISION VI/5 Agricultural biological diversity. 703

DECISION VI/6 The International Treaty on Plant Genetic Resources for
Food and Agriculture 719

DECISION VI/7 Identification, monitoring, indicators and assessments . . 720

DECISION VI/8 Global Taxonomy Initiative. 739

DECISION VI/9 Global Strategy for Plant Conservation 770

DECISION VI/10 Article 8(j) and related provisions 783

DECISION VI/11 Liability and redress (Article 14, paragraph 2) 807

DECISION VI/12 Ecosystem approach 808

DECISION VI/13 Sustainable use 809

DECISION VI/14 Biological diversity and tourism 810

DECISION VI/15 Incentive measures 811

DECISION VI/16 Additional financial resources 824

DECISION VI/17 Financial mechanism under the Convention 827

DECISION VI/18 Scientific and technical cooperation and the clearing-house
mechanism 830

DECISION VI/19 Communication, education and public awareness 831

DECISION VI/20	Cooperation with other organizations, initiatives and conventions	838
DECISION VI/21	Annex to The Hague Ministerial Declaration of the Conference of the Parties to the Convention on Biological Diversity.	843
DECISION VI/22	Forest biological diversity	851
DECISION VI/23	Alien species that threaten ecosystems, habitats or species. . .	875
DECISION VI/24	Access and benefit-sharing as related to genetic resources. . .	888
DECISION VI/25	National reports.	913
DECISION VI/26	Strategic Plan for the Convention on Biological Diversity. . .	946
DECISION VI/27	Operations of the Convention.	951
DECISION VI/28	Multi-year programme of work of the Conference of the Parties up to 2010	957
DECISION VI/29	Administration of the Convention and the budget for the programme of work for the biennium 2003–2004	957
DECISION VI/30	Preparations for the seventh meeting of the Conference of the Parties	973
DECISION VI/31	Date and venue of the seventh meeting of the Conference of the Parties	973
DECISION VI/32	Tribute to the Government and people of the Kingdom of the Netherlands	973

SEVENTH MEETING OF THE CONFERENCE OF THE PARTIES

DECISION VII/1	Forest biological diversity	975
DECISION VII/2	The biological diversity of dry and sub-humid lands . . .	976
DECISION VII/3	Agricultural biological diversity.	964
DECISION VII/4	Biological diversity of inland water ecosystems	965
DECISION VII/5	Marine and coastal biological diversity	1016
DECISION VII/6	Assessment processes	1069
DECISION VII/7	Environmental impact assessment and strategic environment assessment	1069
DECISION VII/8	Monitoring and indicators: designing national-level monitoring programmes and indicators	1070
DECISION VII/9	Global Taxonomy Initiative.	1072
DECISION VII/10	Global Strategy for Plant Conservation	1073
DECISION VII/11	Ecosystem approach	1075
DECISION VII/12	Sustainable Use (Article 10)	1104
DECISION VII/13	Alien species that threaten ecosystems, habitats or species (Article 8(h))	1124
DECISION VII/14	Biological Diversity and Tourism.	1128
DECISION VII/15	Biodiversity and Climate Change.	1151
DECISION VII/16	Article 8(j) and related provisions	1155
DECISION VII/17	Liability and redress (Article 14, paragraph 2)	1192
DECISION VII/18	Incentive Measures (Article 11)	1193

DECISION VII/19 Access and benefit-sharing as related to genetic resources (Article 15). 1205

DECISION VII/20 Further guidance to the financial mechanism 1225

DECISION VII/21 Additional financial resources 1231

DECISION VII/22 Arrangements for the third review of the effectiveness of the financial mechanism 1233

DECISION VII/23 Scientific and technical cooperation and the clearing-house mechanism (Article 18, paragraph 3). 1235

DECISION VII/24 Education and public awareness (Article 13) 1239

DECISION VII/25 National reporting 1240

DECISION VII/26 Cooperation with other conventions and international organizations and initiatives 1242

DECISION VII/27 Mountain biological diversity 1244

DECISION VII/28 Protected Areas (Articles 8(a)–(e)) 1259

DECISION VII/29 Transfer of technology and technology cooperation (Articles 16 to 19) 1282

DECISION VII/30 Strategic Plan: future evaluation of progress. 1299

DECISION VII/31 Multi-year programme of work of the Conference of the Parties up to 2010 1309

DECISION VII/32 The programme of work of the Convention and the Millennium Development Goals 1313

DECISION VII/33 Operations of the Convention 1315

DECISION VII/34 Administration of the Convention and the budget for the programme of work for the biennium 2005-2006 1318

DECISION VII/35 Date and venue of the eighth meeting of the Conference of the Parties 1332

DECISION VII/36 Tribute to the Government and people of Malaysia . . . 1332

FIRST MEETING OF THE CONFERENCE OF THE PARTIES TO THE CONVENTION ON BIOLOGICAL DIVERSITY SERVING AS THE MEETING OF THE PARTIES TO THE CARTAGENA PROTOCOL ON BIOSAFETY

DECISION BS-I/1 Rules of procedure for meetings of the Conference of the Parties serving as the meeting of the Parties to the Protocol 1333

DECISION BS-I/2 Procedures and mechanisms for facilitating decision-making by Parties of import (Article 10, paragraph 7) 1334

DECISION BS-I/3 Information-sharing and the Biosafety Clearing-House (Article 20): modalities of operation of the Biosafety Clearing-House. 1335

DECISION BS-I/4 Capacity building (Roster of experts). 1342

DECISION BS-I/5 Capacity-building 1365

DECISION BS-I/6 Handling, transport, packaging and identification of living modified organisms (Article 18). 1391

DECISION BS-I/7 Establishment of procedures and mechanisms on compliance under the Cartagena Protocol on Biosafety 1404

DECISION BS-I/8	Establishment of an Open-ended Ad Hoc Working Group of Legal and Technical Experts on Liability and Redress in the context of the Protocol	1408
DECISION BS-I/9	Monitoring and reporting under the Protocol (Article 33): format and timing for reporting	1412
DECISION BS-I/10	Programme budget for the distinct costs of the Secretariat services for and the Biosafety work programme of the Cartagena Protocol for the biennium 2005–2006	1432
DECISION BS-I/11	Consideration of other issues necessary for the effective implementation of the Protocol (e.g., Article 29, paragraph 4)	1441
DECISION BS-I/12	Medium-term programme of work for the Conference of the Parties serving as the meeting of the Parties to the Biosafety Protocol (from the second to the fifth meetings)	1444
DECISION BS-I/13	Date and venue of the second meeting of the Conference of the Parties to the Convention on Biological Diversity serving as the meeting of the Conference of the Parties to the Cartagena Protocol on Biosafety	1447
DECISION BS-I/14	Tribute to the Government and people of Malaysia	1448
XI. The Hague Ministerial Declaration of the Conference of Parties to the Convention on Biological Diversity	1451
Index of key terms	1459