

**United Nations Board of Auditors - Status of the audit recommendations**

Year	Para	Reference	Summary of recommendations	Status
2019	A/75/5/Add.7, chap. II, para. 170	para. 170	170. The Board recommends that UNEP establish the proper liaison between its headquarters and the Executive Secretary of the Convention on Biological Diversity and its Protocols in order to agree on the procedures and responsibilities that each entity shall assume with regard to the provision of secretariat services to the Conference of the Parties to the Convention on Biological Diversity, including the aspects related to non-administrative functions.	Closure requested - pending auditor confirmation.
2019	A/75/5/Add.7, chap. II, para. 171	para. 171	171. The Board recommends that UNEP liaise with the Executive Secretary of the Convention on Biological Diversity to propose to the Conferences of Parties to the Convention on Biological Diversity and its Protocols the adoption of a memorandum of understanding. If agreed, this instrument shall include the arrangements for the provision of secretariat functions by UNEP, aiming to establish a regulatory framework that sets out clear responsibilities, transparency, guidance and accountability among the Parties and Member States.	Closure requested - pending auditor confirmation.
2019	A/75/5/Add.7, chap. II, para. 221	para. 221	221. The Board recommends that UNEP assist and liaise with the secretariat of the Convention on Biological Diversity to coordinate with the Conference of the Parties to the Convention in order to agree on the implementation of a more effective resource mobilization mechanism for the secretariat.	Under Implementation
2021	A/77/5/Add.7, para. 59	para. 59	59. The Board recommends that SCBD make efforts to mobilize voluntary contributions to improve the level of adequate, predictable, effective and efficient PSC funding for its administrative activities.	Closure requested - pending auditor confirmation.
2021	A/77/5/Add.7, para. 78	para. 78	78. The Board recommends that SCBD actively communicate with donors in a timely manner and expedite the process of clearing up the outstanding balance of closed grants.	Under Implementation

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2019	A/75/5/Add.7, chap II, para.180	<b>para.180</b>	The Board recommends that UNEP coordinate with the secretariat of the Convention on Biological Diversity to introduce a framework that guides the planning process at the different levels of the secretariat, incorporating a clear definition of the main concepts to be used in that process, such as results, outputs, indicators of achievement and/or means of verification.	Closed as implemented.
2019	A/75/5/Add.7, chap II, para.181	<b>para.181</b>	The Board recommends that UNEP coordinate with the secretariat of the Convention on Biological Diversity the establishment of a comprehensive workplan on the secretariat's activities, which is aligned with the secretariat's strategic objectives and with the decisions of the Conference of the Parties to the Convention on Biological Diversity and incorporates measurable indicators of accomplishment and means of verification.	Closed as implemented.
2019	A/75/5/Add.7, chap II, para.185	<b>para.185</b>	The Board recommends to UNEP that the secretariat of the Convention on Biological Diversity issue the report on the administration of the Convention on Biological Diversity in line with the activities disclosed in its programme of work, presenting those activities in accordance with its budget and its execution.	Closed as implemented.
2019	A/75/5/Add.7, chap II, para.186	<b>para.187</b>	The Board recommends that UNEP assist and coordinate with the secretariat of the Convention on Biological Diversity in order to standardize the secretariat's reporting processes, with the aim of including indicators, means of verification, outcomes and assessment for each activity presented in the programme of work.	Closed as implemented.
2019	A/75/5/Add.7, chap II, para.199	<b>para.199</b>	The Board recommends that UNEP assess and correct the grants posted in its BHL and BXL trust funds.	Closed as implemented.
2019	A/75/5/Add.7, chap II, para.200	<b>para.200</b>	The Board recommends that UNEP coordinate with the Secretariat of the Convention on Biological Diversity in order to include in the Report on the Administration the performance of BHL and BXL trust funds.	Closed as implemented.

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2019	A/75/5/Add.7, chap II, para.209	<b>para.210</b>	The Board recommends that UNEP coordinate with the secretariat of the Convention on Biological Diversity to take the measures needed to comply with the preparation of a complete long-term strategic framework for capacitybuilding beyond 2020, in order for it to be presented in conformity with the requirements of the Conference of the Parties.	Closed as implemented.
2019	A/75/5/Add.7, chap II, para.219	<b>para.219</b>	The Board recommends that UNEP assist and liaise with the secretariat of the Convention on Biological Diversity to coordinate with the Conference of the Parties to the Convention to adjust the programme of work and budget submission, with the aim of evaluating and implementing a more realistic workplan for the secretariat in accordance with available resources.	Closed as implemented.
2019	A/75/5/Add.7, chap II, para.220	<b>para.220</b>	The Board recommends that UNEP assist and liaise with the secretariat of the Convention on Biological Diversity to coordinate with the Conference of the Parties to include the voluntary contributions in the budget submission in a way that reflects the necessary resources to implement the Conference of the Parties' decisions.	Closed as implemented.
2019	A/75/5/Add.7, chap II, para.237	<b>para.237</b>	The Board recommends that UNEP reinforce its control mechanisms at the secretariat of the Convention on Biological Diversity to ensure that the selection processes of consultants and individual contractors are competitive and transparent and consider at least three recommended applicants.	Closed as implemented.
2019	A/75/5/Add.7, chap II, para.238	<b>para.238</b>	The Board recommends that UNEP establish and correctly document an initial control mechanism at the secretariat of the Convention on Biological Diversity to verify whether the applicants for consultants and individual contractors have permission to work in the country, when that is required by the terms of reference, as a first filter before starting to make any evaluation of the candidate.	Closed as implemented.
2019	A/75/5/Add.7, chap II, para.239	<b>para.239</b>	The Board recommends that UNEP liaise with the secretariat of the Convention on Biological Diversity with the aim of ensuring that the secretariat completes each field of the application process documents to ensure that all participants have complete information.	Closed as implemented.

Year	Para	Reference	Summary of recommendations	Status
2019	A/75/5/Add.7, chap II, para.247	para.247	The Board recommends that UNEP establish a control and supervision mechanism that periodically and in a documented manner ensures that the contracting processes of consultants and individual contractors include terms of reference for each of them, and that these terms of reference contain all information established in the administrative instruction ST/AI/2013/4.	Closed as implemented.
2019	A/75/5/Add.7, chap II, para.255	para.255	The Board recommends that UNEP establish a control mechanism that guarantees compliance with the terms of reference of the hiring processes of consultants and individual contractors at the secretariat of the Convention on Biological Diversity, in particular with regard to the deadline for the development of contracted tasks, so that contracts do not exceed the duration specified in the terms of reference.	Closed as implemented.
2019	A/75/5/Add.7, chap II, para.256	para.256	The Board recommends that UNEP ensure that the contracts contain all the information necessary to identify the department that signs the contract and the consultants and individual contractors hired at the secretariat of the Convention on Biological Diversity.	Closed as implemented.
2019	A/75/5/Add.7, chap II, para.257	para.257	The Board recommends that UNEP keep records of how the fee level was determined for each contract with consultants and individual contractors at the secretariat of the Convention on Biological Diversity.	Closed as implemented.
2019	A/75/5/Add.7, chap II, para.267	para.267	The Board recommends that UNEP establish a control mechanism that allows for the alerting of personnel in charge of hiring consultants and individual contractors about possible risk situations, such as hiring former and retired staff members or surpassing the maximum hiring periods in accordance with the administrative instruction.	Closed as implemented.
2019	A/75/5/Add.7, chap II, para.274	para.274	The Board recommends that UNEP reinforce its control mechanism and ensure that each interim evaluation and each final evaluation are carried out in a timely manner in accordance with the provisions of the administrative instruction.	Closed as implemented.

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2021	A/77/5/Add.7, para. 44	para. 44	The Board recommends that SCBD accelerate the finalization of the development of the decision-tracking tool and the manual for the decision-tracking tool users, and establish a mechanism to make good use of the information and keep it up-to-date.	Closed as implemented.
2021	A/77/5/Add.7, para. 94	para. 94	The Board recommends that UNEP ensure the prescribed comparative analysis be properly conducted in conformity with UNEP's policy.	Closed as implemented.