

Response from Japan

ANNEX II

QUESTIONNAIRE ON PROPOSED ELEMENTS OF THE STRATEGIC FRAMEWORK FOR CAPACITY-BUILDING AND DEVELOPMENT UNDER THE NAGOYA PROTOCOL ON ACCESS AND BENEFIT-SHARING

Please provide your views and suggestions for each of the proposed elements of the strategic framework.

1. OBJECTIVES

In accordance with Article 22 of the Nagoya Protocol, the objective of the strategic framework is to assist Parties in the capacity-building, capacity development and strengthening of human resources and institutional capacities in developing country Parties, in particular the least developed countries and small island developing States among them, and Parties with economies in transition to effectively implement the Protocol.

Please provide any views on the possible objective(s) for the strategic framework.

The overall objective of the strategic framework should strictly comply with those clearly stipulated in Article 22, which is "to effectively implement the Protocol". It is inadequate to unduly expand the scope of the framework. As such, the initiatives carried out under the framework should be those directly related to the effective implementation of the Protocol. As the resources for capacity-building and development are expected to be limited, it is quite important to concentrate them on a limited number of critical areas for capacity-building and development.

2. EXPERIENCE AND LESSONS LEARNED FROM PAST AND ONGOING ACCESS AND BENEFIT-SHARING CAPACITY-BUILDING AND DEVELOPMENT INITIATIVES.

Please provide a short description of your experience and lessons learned from past and ongoing access and benefit-sharing capacity-building and development initiatives which could contribute to the development and implementation of the strategic framework.

Asian Consortium for the Conservation and Sustainable Use of Microbial Resources (ACM), comprised of the representatives of 12 Asian countries (Cambodia, China, Indonesia, Japan, Korea, Lao, Malaysia, Mongolia, Myanmar, Philippines, Thailand and Vietnam) was established through Japan's initiative in 2004. Its mission is to promote collaboration among governments or public organizations in these countries in enhancing conservation and sustainable use of microbial resources. In 2011, a ACM workshop aiming to build participants' capacity in proper handling, long-term preservation and quality control of micro-organisms was held in Thailand by the Task Force for Human Resource Development, which is one of the discussion groups organised under ACM. This workshop was successful and expected to contribute to the high quality inoculants in the field. The next workshop has been planned in 2012, Japan.

NITE, National Institute of Technology and Evaluation, has carried out human resource development and technology transfer in the field of isolation, preservation and identification of micro-organisms through joint research programmes for young researchers from Vietnam, Myanmar, Mongolia, Indonesia, Brunei and Thailand. The programme was composed of variety of activities such as on-site experiments, short-term invitation, presentation and organisation of on-site workshops.

3. GUIDING PRINCIPLES AND APPROACHES TO CAPACITY-BUILDING AND DEVELOPMENT

The preamble of the recommendation 1/2 of the Intergovernmental Committee provides a preliminary list of principles and approaches to guide capacity-building and development in support of the implementation of the Nagoya Protocol, as follows:

- a) To be demand-driven, based on the needs and priorities identified through national self-assessments;
- b) To take note of experiences and lessons learned from past and on-going ABS capacity-building initiatives;
- c) To emphasize the role of bilateral and multilateral cooperation;
- d) To ensure full involvement of indigenous and local communities and relevant stakeholders, including women, in capacity-building and development initiatives; and
- e) To recognize the usefulness and cost-effectiveness of subregional and regional approaches to capacity-building and development in particular where countries have similar biological resources and common capacity-building needs.

The list below contains the GEF operational principles for effective capacity-building.⁴

Please indicate from this list which of these principles and approaches could be reflected in the strategic framework to guide capacity-building and development initiatives under the Nagoya Protocol by **placing a cross (X)**.

- Ensure national ownership and leadership
- Ensure multi-stakeholder consultations and decision-making
- Base capacity building efforts in self-needs assessment
- Adopt a holistic approach to capacity-building
- Integrate capacity-building in wider sustainable development efforts
- Promote partnerships
- Accommodate the dynamic nature of capacity-building
- Adopt a learning-by-doing approach
- Combine programmatic and project-based approaches
- Combine process as well as product-based approaches
- Promote regional approaches

Other <Ensure cost-effectiveness. Concentrate to some critical areas for effective implementation of the Protocol. >

4. KEY AREAS FOR CAPACITY-BUILDING AND DEVELOPMENT AND MEASURES TO BUILD OR DEVELOP CAPACITY UNDER THE KEY AREAS

This element of the strategic framework will be based on the responses to the questionnaire on domestic needs and priorities (Annex I).

5. MECHANISMS FOR THE IMPLEMENTATION OF CAPACITY-BUILDING AND DEVELOPMENT MEASURES

⁴ GEF/C.22/8 "Strategic Approach to Enhancing Capacity Building" (2003). Definitions of the operational principles can be found in the annex to the document.

This element of the strategic framework will be based on the responses to the questionnaire on domestic needs and priorities (Annex I).

6. COORDINATION MECHANISM

Article 22, paragraph 6, of the Protocol provides that information on capacity-building and development initiatives at national, regional and international levels should be provided to the Access and Benefit-sharing Clearing-house with a view to promoting synergy and coordination on capacity-building and development for access and benefit-sharing.

In addition to reporting to the Access and Benefit-sharing Clearing-House, other possible elements for a coordination mechanism are listed below. Please indicate which of these, in your opinion, could be useful element(s) for a coordination mechanism to promote synergy and coordination on capacity-building and development under the Nagoya Protocol by placing a cross (X).

- Liaison group providing advice to the SCBD on ways to improve coordination
- Coordination meetings of government agencies, donors and relevant organizations involved with capacity-building
- Online forums and networks linking government agencies, donors and relevant organizations involved with capacity-building through internet-based tools

Other <please specify>

Please provide views regarding a coordination mechanism for capacity-building and development under the Nagoya Protocol.

The coordinating mechanism should be cost-effective. Experiences and lessons learned from the capacity-building activities for the effective implementation of the Cartagena Protocol could be studied from this point of view, and the results of the study could be reflected in the deliberation of the element(s) for the coordination mechanism.

7. COOPERATION AMONG PARTIES AND WITH RELEVANT PROCESSES AND PROGRAMMES

Please provide views or information on possible or existing cooperation among Parties and with relevant processes and programmes which could support the implementation of the strategic framework.

<NA>

8. MONITORING AND REVIEW

Please provide views on how the strategic framework could be monitored and reviewed.

The implementation of the strategic framework could be monitored based on self-assessments of both recipient countries and donor countries/ organisations. Development of a set of indicators to objectively measure the progress and outcome of capacity-building activities would be quite beneficial to facilitate the self-assessments. Results of the self-assessment could be reported by parties and relevant organisations to the Secretariat. Frequency of the reporting should be decided so as not to overburden the Parties.

Please indicate whether, in your opinion, the development of a set of indicators to facilitate the monitoring and review of the strategic framework would be useful.

See above.

If you think the development of indicators could support the monitoring and review of the strategic framework, please indicate whether, in your opinion, it would be most appropriate to develop the indicators at the national and/or international level. If possible, please also provide examples of such indicators (e.g. existence of a legislative framework to implement the Protocol).

The set of indicators could be mostly comprised of national indicators. The indicators related to outcomes (e.g. duration of the procedure for access to genetic resources) are important.

9. POSSIBLE SEQUENCE OF ACTIONS FOR THE IMPLEMENTATION OF THE STRATEGIC FRAMEWORK

Please provide your views and/or information regarding possible sequence of actions for the implementation of the strategic framework, including a possible roadmap of activities to assist countries in defining their priorities and corresponding timelines. This could include actions at the international, regional and national levels.

Priority should be put on the areas directly contribute to the implementation of national obligations stipulated by the Protocol, especially those areas related to the provision of legal certainty, clarity and transparency of domestic legislation or regulatory requirements.

10. FINANCIAL AND OTHER RESOURCE REQUIREMENTS

Please provide your views and/or information regarding financial and resource requirements in relation to the implementation of the strategic framework.

Resources for the implementation of the framework should come from voluntary contributions from parties and organisations, in addition to the existing sources such as Japan Biodiversity Fund and Nagoya Protocol Implementation Fund.

11. OTHER POSSIBLE ELEMENTS

Please provide a short description of any other element that you wish to see reflected in the strategic framework.

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