The Protection of Indigenous Knowledge and related Intellectual Property Rights: The example of the Cree Diabetes Project

Elisabeth Patterson Dionne Schulze, senc

Intergovernmental for the Nagoya Protocol meeting in Montreal Side event - June 9th, 2011

Presentation

- 1. International Context
- 2. Canadian framework
- 3. Example of a contractual agreement on ABS: Anti-diabetic Cree plant project
- 4. Conclusion

1. International Context: ABS at the time of negotiations

- U.N. Convention on Biological Diversity
- Bonn guidelines
- Nagoya Protocol (in negotiation)

2. Canadian framework

- □ Little legal protection for TK
- Ethical Guidelines from the Canadian Institutes of Health Research, Tri-Council Policy Statement: Chapter on Aboriginal Persons (SSHRC, NSHRC, CIHR)
- Research Protocols (ex. AFNQL)
- Commercial IP licenses

3. Cree anti-diabetic plants project

- Project funded by CIHR for 8 years (2003-11)
- 3 Universities, 1 Hospital
- 4 Cree communities, CBHSSJB, (GGCEI), elders (in northern Québec)
- Objective: Effectiveness of traditional plants on symptoms of diabetes and interaction between medicines and plants
- Long term: Improve services offered by CBHSSJB

Cree (Iiyiu/Eenou) Concerns

- Cree knowledge or plants would be commercialized
- Knowledge would not be used in a secure fashion
- Cree would not be kept informed
- Researchers would mislead them

Agreement

- Was not signed before the start of the project
- Negotiations started in 2007
- Good faith throughout the negotiation
- □ Interim Agreement in 2008
- □ Final agreement in 2009

Principles: Confidentiality of TK

- Traditional knowledge is confidential (unless published under the rules of the agreement)
- Consent of the communities and the elders to publish
- Cannot be used for any purpose but research (even after publication)
- No transfer to third parties without permission

Publications

- Revision of all publications by the elders and communities
- A 12-week process: summarize in Cree, review, comment, extract TK, make changes
- Transmission to the journal
- Differences in interpretation
- □ abstracts, conferences : abridged process

Intellectual Property

- Results, intellectual property= joint
- co-authors
- Acknowledgements
- Patents: consent of researchers and Cree necessary
- Joint company if commercialisation (51% Cree ownership)

Benefits

- Participation and exchange of information
- Co-ownership of data
- Co-ownership of IP Improve the situation of people with diabetes
- Recognition of traditional medicine and elders
- Training, employment, scholarships
- Herbarium, videos? Books?

End of project

- At the end of the project: TK, plants returned to Crees or destroyed
- Data and results stored by both parties
- Use of data or IP = consent of the researchers and Cree necessary
- Possibility to terminate (or project component) if threat to cultural integrity

4. Conclusion

- Unique agreement, real partnership, not only ABS
- Was possible due to perseverance of the Cree and good faith of researchers

Impact of Nagoya Protocol?

- Potential Impacts of Nagoya Protocol?
 - Would certainly have helped in negotiations
 - More awareness, models, best practices
- But...
 - Lack of Binding Minimum Requirements
 - Will depend on Canada's implementation
 - Compliance mechanisms
 - Will still depend on good faith and respective power in negotiations